



Sheet 1 of 1

Form PCT INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>								Docket Number Optional 22708/09020 CIP	Application Number 10/771,709		
								Applicant Robert L. Padgette			
								Filing Date February 4, 2004	Group Art Unit 2623		
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>DP</i>	6	4	8	4	1	5	2	11/19/02	Robinson	<i> </i>	<i> </i>
FOREIGN PATENT DOCUMENTS										Translation	
	DOCUMENT NUMBER			DATE	COUNTRY	CLASS	SUBCLASS				
								YES	NO		
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>											
<i>PP</i>	International Search report on corresponding PCT application, Serial No. PCT/US03/32381, international filing date 10 October 2003.										
EXAMINER	<i>PP Inv</i>					DATE CONSIDERED					<i>11/24/07</i>
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Substitute for form 1449A/PTO				Complete If Known	
				Application Number	10/771,709
				Filing Date	February 4, 2004
				First Named Inventor	Robert L. Padgett
				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	014242-000011

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
<i>RP</i>	A	US- 6,430,542		08/08/2002	Moran	
	B	US- 2001/0058381 A1		12/27/2001	Schultz	
	C	US- 2002/0042784 A1		04/11/2002	Gardner et al.	
	D	US- 2002/0087388 A1		07/04/2002	Kell et al.	
	E	US- 2002/0082272 A1		05/23/2002	Kim et al.	
	F	US- 2002/0062271 A1		05/23/2002	Breuninger	
	G	US- 2002/0002521 A1		01/03/2002	Shearer et al.	
	H	US- 6,292,787		09/18/2001	Scott et al.	
	I	US- 2001/0042036 A1		11/15/2001	Sanders	
	J	US- 2001/0027437 A1		10/04/2001	Turbeville et al.	
	K	US- 2001/0021911 A1		09/13/2001	Ohmoto et al.	
	L	US- 6,405,178		06/11/2002	Rebane	
	M	US- 6,397,212		05/28/2002	Biffar	
	N	US- 6,336,102		01/01/2002	Luskin et al.	
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	Q	US- 6,275,814		08/14/2001	Giansante et al.	
	R	US- 6,219,650		04/17/2001	Friend et al.	
	S	US-6,085,175		07/04/2000	Gugel et al.	
	T	US-6,064,984		05/16/2000	Ferguson et al.	
	U	US-6,012,044		01/04/2000	Maggioncalda et al.	
	V	US-5,999,918		12/07/1999	Williams et al.	
	W	US-2002/0091804 A1		07/11/2002	Looper	
	X	US-2002/0091805 A1		07/11/2002	Labe, Jr. et al.	
	Y	US-2002/0107770 A1		08/08/2002	Meyer et al.	
	Z	US-2002/0111890 A1		08/15/2002	Sloan et al.	
<i>GP</i>	AA	US-09/704,349		11/01/2000	Johnston et al.	

Examiner Signature	<i>F. P. m.</i>	Date Considered	<i>11/24/07</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete If Known	
		Application Number	10/771,709
		Filing Date	February 4, 2004
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		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	
		014242-000011	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>FP</i>	BB	A Sensible Mutual Fund Selection Model, by Hakan Saraoğlu and Miranda Lam Detzler, pgs. 60-72 (2002)	

Examiner Signature	F. Poinsett	Date Considered	11/24/07
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